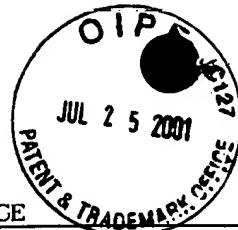




UNITED STATES PATENT AND TRADEMARK OFFICE



Page 1 of 2

#3

EL792822168

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,038	04/30/2001	Murren	GE1-008US

CONFIRMATION NO. 5210

21718
LEE & HAYES PLLC
SUITE 500
421 W RIVERSIDE
SPOKANE, WA 99201

FORMALITIES LETTER



OC00000006236247

Date Mailed: 06/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

RECEIVED

FILED UNDER 37 CFR 1.53(b)

SEP 19 2001

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$626.
 - \$306 for 17 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1466.**

00/19/2001 AMPLIEX 00000010 09847038
01 FEE 100 710.00 0P
02 FEE 102 320.00 0P
03 FEE 103 206.00 0P
04 FEE 105 130.00 0P

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



07-27-01

\$ sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No..... 09/847,038
3 Filing Date 4/30/2001
3 Inventorship Murren et al.
4 Applicant..... GE Capital Corporation
4 Attorney's Docket No. GE1-008US
5 Title: Automatic Identification of Form Contents

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

6 To: Commissioner of Patents and Trademarks,
7 Washington, D.C. 20231



8 From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
9 Customer No. 21718

21718
PATENT TRADEMARK OFFICE

10 The following enumerated items accompany this transmittal letter and are being submitted for the
11 matter identified in the above caption.

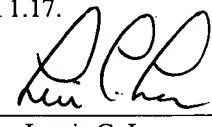
1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Check in the amount of \$1466.00
6. Return Post Card

13 Large Entity Status [x] Small Entity Status []

14 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
15 should be charged to the Deposit Account indicated below.*

16 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
17 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
18 processing fees, including any necessary extension fees under 37 CFR 1.17.

19 Date: July 25, 2001

By: 

Lewis C. Lee
Reg. No. 34,656

CERTIFICATE OF MAILING

20 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
21 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
22 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
23 below-indicated date. Any Express Mail No. has also been marked on the listed items.

24 Express Mail No. (if applicable) EL792822168

25 Date: 7/25/01

By: 
Dana L. Calhoun



EL792822168

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
1466 00

Complete if Known

Application Number	<i>09/847,038</i>
Filing Date	<i>4/30/01</i>
First Named Inventor	<i>Murren</i>
Examiner Name	
Group Art Unit	
Attorney Docket No.	<i>OE1-008US</i>

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
12-0769

Deposit Account Name
Lee & Hayes PLC

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	<i>130</i>
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				<i>130 00</i>

2. EXTRA CLAIM FEES

Total Claims	<i>37</i>	Extra Claims	<i>17</i>	Fee from below	<i>18</i>	=	<i>306</i>	Fee Paid
Independent Claims	<i>7</i>	-20** =	<i>4</i>	X	<i>8</i>	=	<i>320</i>	
Multiple Dependent								

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)
626 00

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
130 00

SUBMITTED BY

Name (Print/Type)	<i>Levi C. Lee</i>	Registration No. (Attorney/Agent)	<i>34,656</i>	Complete (if applicable)
Signature	<i>L. C. Lee</i>	Telephone	<i>(509)324-9256</i>	
		Date	<i>July 25, 2001</i>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.